

Deciding Maltsev Conditions

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Maltsev Conditions

Definition

- A **strong Maltsev condition** \mathcal{S} consists of a finite set of function symbols $\{f_i\}_{i \in I}$ of various arities along with a finite set of equations Σ involving terms over the f_i .
- An algebra \mathbf{A} satisfies \mathcal{M} if it has terms $\{t_i\}_{i \in I}$ such that

$$\langle \mathbf{A}, \{t_i^{\mathbf{A}}\}_{i \in I} \rangle \models \Sigma.$$

- A **Maltsev condition** \mathcal{M} consists of a sequence \mathcal{S}_i , $i \geq 1$, of strong Maltsev conditions such that for all i , the condition \mathcal{S}_i is stronger than the condition \mathcal{S}_{i+1} . An algebra satisfies \mathcal{M} if it satisfies \mathcal{S}_i for some i .
- A Maltsev condition is **linear** if none of the equations used to define it involve compositions.
- A Maltsev condition is **idempotent** if the equations defining it imply that all of the functions that appear in the definition are idempotent.
- A Maltsev condition is **special** if it is strong, idempotent, and linear.

Congruence Distributivity

Definition

For $k > 1$, let $CD(k)$ be the special Maltsev condition defined by the equations:

$$p_0(x, y, z) \approx x$$

$$p_i(x, y, x) \approx x \text{ for all } i$$

$$p_i(x, x, y) \approx p_{i+1}(x, x, y) \text{ for all } i \text{ even}$$

$$p_i(x, y, y) \approx p_{i+1}(x, y, y) \text{ for all } i \text{ odd}$$

$$p_k(x, y, z) \approx z$$

Theorem (Jónsson)

\mathcal{V} is *congruence distributive (CD)* if and only if it satisfies $CD(k)$ for some $k > 1$.

Testing for Maltsev conditions

Three decision problems

Let \mathcal{M} be a Maltsev condition.

- $(\text{SAT}_{\mathcal{M}})$ **Instance:** A finite algebra \mathbf{A} .
Question: Does \mathbf{A} satisfy \mathcal{M} ?
- $(\text{Id-Sat}_{\mathcal{M}})$ **Instance:** A finite **idempotent** algebra \mathbf{A} .
Question: Does \mathbf{A} satisfy \mathcal{M} ?
- $(\text{Rel-Sat}_{\mathcal{M}})$ **Instance:** A finite **relational structure** \mathbb{B} .
Question: Does $\langle B, \text{Pol}(\mathbb{B}) \rangle$ satisfy \mathcal{M} ?

Related questions

For a Maltsev condition \mathcal{M} , what are the computational complexities of the three decision problems $\text{SAT}_{\mathcal{M}}$, $\text{Id-Sat}_{\mathcal{M}}$, and $\text{Rel-Sat}_{\mathcal{M}}$?

Remark

There is a straightforward algorithm that demonstrates that for any $k > 1$, $SAT_{CD(k)}$ and SAT_{CD} are in EXP-TIME: Compute the free algebra in $\mathbf{V}(\mathbf{A})$ generated by $\{x, y, z\}$ and look for a sequence of terms that satisfy the condition.

Theorem (Freese-Val., Horowitz ($k = 3$ case))

- SAT_{CD} is EXP-TIME complete.
- For a fixed $k > 2$, $SAT_{CD(k)}$ is EXP-TIME complete

The Clone Membership Problem

Remark

The principle tool that we use to establish hardness is the following EXP-TIME complete problem (shown by Bergman, Juedes, and Slutzki and also by H. Friedman).

Theorem (Clone Membership Problem)

The following decision problem is EXP-TIME complete:

- **Instance:** *A finite algebra $\mathbf{A} = (A, f_1, \dots, f_k)$ and a function g on A .*
- **Question:** *Is g in the clone of operations on A generated by $\{f_1, \dots, f_k\}$, i.e., can g be obtained by composing the f_i in some fashion?*

A general purpose construction

Remark

We came up with a construction that takes an instance I of the Clone Membership Problem and builds a finite algebra \mathbf{A}_I such that:

- If I is a **no** instance, then \mathbf{A}_I has no non-trivial idempotent term operations, and*
- If I is a **yes** instance, then \mathbf{A}_I has a flat semi-lattice term operation and also the operation $(x \wedge y) \vee (x \wedge z)$.*

Theorem

*Testing for any of the following conditions is an EXP-TIME complete:
Given a finite algebra \mathbf{A} :*

- Does \mathbf{A} have a nontrivial idempotent term operation or a Taylor (or Siggers) term?*
- Does \mathbf{A} have a (flat) semi-lattice term operation?*
- Does \mathbf{A} generate a variety that is CD or CM or $SD(\vee)$ or $SD(\wedge)$?*

Is $SAT_{\mathcal{M}}$ always hard?

Remarks

- For any strong Maltsev condition \mathcal{M} , $SAT_{\mathcal{M}}$ is in EXP-TIME (just look for suitable terms by building the appropriate free algebras).
- *Challenge:* Find some strong, idempotent, non-trivial Maltsev condition \mathcal{M} such that $SAT_{\mathcal{M}}$ is **not** EXP-TIME complete.

Problems

- What is the complexity of testing for a Maltsev term or a majority term or a Pixley term?
- If \mathcal{M} is a non-trivial special Maltsev condition, is $SAT_{\mathcal{M}}$ EXP-TIME complete?

The idempotent case

Remark

It turns out that for many familiar idempotent linear Maltsev conditions \mathcal{M} , it can be shown that $\text{Id-SAT}_{\mathcal{M}}$ is in \mathbf{P} .

Theorem

$\text{Id-SAT}_{\mathcal{M}}$ is in \mathbf{P} for \mathcal{M} any one of the following Maltsev conditions:

- *(Bulatov) Having a Taylor term (or omitting the unary type),*
- *(Freese, Val.) one of the other five “type omitting” conditions from tame congruence theory,*
- *(Freese, Val.) CM, CD, having a majority or Maltsev term,*
- *(Val., Willard) for a fixed $k > 2$, congruence k -permutability,*
- *(Kazda, Val.) for a fixed $k > 1$, $\text{CD}(k)$ and $\text{CM}(k)$,*
- *(BKMMN) for a fixed $k > 1$, having a cyclic term of arity k ,*
- *(Horowitz) for a fixed $k > 1$, having a k -edge term.*

The Idempotent Case

Remarks

- *A number of the results from the previous theorem can be proved by “localizing” a failure of the condition in a small subalgebra of a small power of the given idempotent algebra.*
- *For example, a finite idempotent algebra \mathbf{A} generates a $CD(k)$ variety if and only if every 3-generated subalgebra of \mathbf{A}^{2k-1} is congruence distributive.*

Theorem (Bulatov)

If \mathbf{A} is a finite idempotent algebra, then \mathbf{A} has a Taylor term if and only if the class $\mathbf{HS}(\mathbf{A})$ does not contain a 2-element set.

Omitting the unary type

Proof.

- By Taylor's result, if \mathbf{A} fails to have a Taylor term then $\mathbf{V}(\mathbf{A})$ contains an algebra that is essentially a set, so it contains a 2-element set T , considered as an algebra.
- Choose n minimal so that T is isomorphic to a quotient of a subalgebra of \mathbf{A}^n , say $T \approx \mathbf{S}/\theta$ for some $\theta \in \text{Con}(\mathbf{S})$ and $\mathbf{S} \leq \mathbf{A}^n$.
- For $a \in A$, let $S_a = \{(a_1, a_2, \dots, a_n) \in S : a_1 = a\} \leq S$.
- If for some $a \in A$, S_a is not contained in a θ -class, then $\mathbf{S}_a/\theta \approx T$, and we can reduce n by 1.
- Otherwise, $\pi_1 \subseteq \theta$ and so \mathbf{T} is isomorphic to a quotient of \mathbf{A} .



Testing for Maltsev Conditions: An Example

Cyclic Terms

A term t is **cyclic** if it is idempotent and satisfies the identity
 $t(x_1, x_2, \dots, x_n) \approx t(x_2, x_3, \dots, x_n, x_1)$.

Theorem (BKMMN)

For $n > 1$ there is a polynomial time algorithm to determine if a given finite idempotent algebra has an n -ary cyclic term.

The case $n = 4$

Remark

We need to determine if our finite idempotent algebra \mathbf{A} has a 4-ary term operation $c(x, y, z, w)$ such that *for all* $\vec{a} = (a_1, a_2, a_3, a_4) \in A^4$,

$$c(a_1, a_2, a_3, a_4) = c(a_2, a_3, a_4, a_1) = \cdots = c(a_4, a_1, a_2, a_3).$$

Definition

- A 4-ary term operation c is cyclic for a tuple $\vec{a} = (a_1, a_2, a_3, a_4) \in A^4$, if $c(a_1, a_2, a_3, a_4) = c(a_2, a_3, a_4, a_1) = \cdots = c(a_4, a_1, a_2, a_3)$.
- For $S \subseteq A^4$, the term operation c is **cyclic for S** if it is cyclic for each member of S .

Remark

So, \mathbf{A} has a cyclic term if and only if it has a term that is cyclic for A^4 .

The case $n = 4$

Lemma

If for each $\vec{a} \in A^4$, \mathbf{A} has a term that is cyclic for \vec{a} then it has a cyclic term.

Proof.

- We show by induction on $|S|$, for $S \subseteq A^4$, that \mathbf{A} has a term that is cyclic for S . The case $|S| = 1$ is given.
- Suppose that $S' = S \cup \{\vec{a}\}$ and c_S is cyclic for S .
- Set $b_1 = c_S(a_1, a_2, a_3, a_4)$, $b_2 = c_S(a_2, a_3, a_4, a_1)$, $b_3 = c_S(a_3, a_4, a_1, a_2)$, and $b_4 = c_S(a_4, a_1, a_2, a_3)$.
- Let $c_{\vec{b}}$ be cyclic for \vec{b} and set $c(x_1, x_2, x_3, x_4)$ to be the term operation

$$c_{\vec{b}}(c_S(x_1, x_2, x_3, x_4), c_S(x_2, x_3, x_4, x_1), \dots, c_S(x_4, x_1, x_2, x_3)).$$

- Then c is cyclic for S' .

The case $n = 4$

Remark

So, to determine if \mathbf{A} has a 4-ary cyclic term operation, it suffices to determine if, for each $\vec{a} \in A^4$, it has a term operation that is cyclic for \vec{a} .

Lemma

For $\vec{a} \in A^4$, \mathbf{A} has a term that is cyclic for \vec{a} if and only if the subalgebra of \mathbf{A}^4 generated by

$$\{(a_1, a_2, a_3, a_4), (a_2, a_3, a_4, a_1), \dots, (a_4, a_1, a_2, a_3)\}$$

contains a constant 4-tuple.

Corollary

There is a polynomial time algorithm to determine if a given finite idempotent algebra has a 4-ary cyclic term operation.

Is $\text{Id-SAT}_{\mathcal{M}}$ always easy?

Remarks

- *There is a lot of evidence to support the claim (conjecture!!!) that if \mathcal{M} is a special Maltsev condition, then $\text{Id-SAT}_{\mathcal{M}}$ is in \mathbf{P} , but,*
- *there are a lot of gaps in our knowledge.*
- ***Challenge:** Find some special Maltsev condition \mathcal{M} such that $\text{Id-SAT}_{\mathcal{M}}$ is **not** in \mathbf{P} .*

Problems

For \mathbf{A} a finite idempotent algebra,

- what is the complexity of testing for a minority term?
- what is the complexity of testing, for a fixed $k > 2$, for a k -ary totally symmetric term?

A non-linear example

Remarks

- *One of the simplest strong, idempotent non-linear Maltsev conditions is that of having a semi-lattice term.*
- *What is the complexity of testing for this condition?*
- *Recall that in general, this is an EXP-TIME complete problem, and even checking for a flat semi-lattice operation is EXP-TIME complete.*

Guess

Even for idempotent algebras, this problem is EXP-TIME complete.

Wild Guess

If \mathcal{M} is a strong idempotent non-linear Maltsev condition that is not equivalent to a special Maltsev condition, then $\text{Id-SAT}_{\mathcal{M}}$ is EXP-TIME complete.

The semi-lattice case

Example (Freese, Nation, Val.)

For each $n > 1$, we build an idempotent (conservative!) algebra \mathbf{A}_n of size $2n$ such that for each subset $S \subset A_n$ of size $2n - 1$ there is a term $b_S(x, y)$ of \mathbf{A}_n such that when restricted to S , b_S is a semi-lattice operation with respect to a linear ordering on S , **but** \mathbf{A}_n does not have a semi-lattice term operation.

Partial Results

- The problem of deciding if a finite idempotent algebra has a flat semi-lattice term operation is in **P**.
- The problem of deciding if a finite idempotent algebra has an “ M_n ” semi-lattice operation is EXP-TIME complete.

The Relational case

Remarks

- For \mathcal{M} a strong Maltsev condition, the problem $\text{Rel-Sat}_{\mathcal{M}}$ is always in **NP**.
- For some special Maltsev conditions, there is a close association with the CSP.

Theorem

Let \mathcal{M} be a special Maltsev condition that implies $SD(\wedge)$. Then $\text{Rel-Sat}_{\mathcal{M}}$ is in **P**.

Corollary

For relational structures, testing for a majority polymorphism, or, for a fixed $k > 2$, a k -ary near unanimity polymorphism, is in **P**.

Special Maltsev conditions that imply $SD(\wedge)$

Proof of the majority case

- Given a finite relational structure \mathbb{A} , we may assume that it contains, for each $a \in A$, the singleton unary relation $\{a\}$.
- Let I be the instance of $CSP(\mathbb{A})$ with variables A^3 and with the following constraints:
 - for $a, b \in A$, $\langle ((a, a, b)), \{a\} \rangle$, $\langle ((a, b, a)), \{a\} \rangle$, $\langle ((b, a, a)), \{a\} \rangle$,
 - for each k -ary relation R of \mathbb{A} and tuples $\vec{u}_1, \vec{u}_2, \vec{u}_3 \in R$,
 $\langle ((u_1^1, u_2^1, u_3^1), \dots, (u_1^k, u_2^k, u_3^k)), R \rangle$.
- Then \mathbb{A} has a majority term polymorphism if and only if I has a solution.
- Now, we run the $SD(\wedge)$ CSP algorithm on I .
- If the algorithm determines that I doesn't have a solution, then \mathbb{A} doesn't have a majority term polymorphism.

Special Maltsev conditions that imply $SD(\wedge)$

Proof of the majority case

- If the algorithm determines that there is a solution, this may not be true, if \mathbb{A} doesn't have an $SD(\wedge)$ polymorphism.
- Choose some triple $\vec{u} \in A^3$ and some $d \in A$ and add the constraint $\langle (\vec{u}), \{d\} \rangle$ to I . Then rerun the CSP algorithm on I .
- If it determines that there is no solution, then choose some other element in place of d and rerun the algorithm.
- If no choice of d yields a positive result, then we conclude that \mathbb{A} has no majority polymorphism.
- If some value of d works, then move on to another triple \vec{u}' from A^3 and augment I with a constraint $\langle (\vec{u}'), \{d\} \rangle$ for some $d \in A$ and rerun the algorithm.
- In the end, after all triples have been considered, we will end up with a ternary function on A that will be a majority operation on A that is a polymorphism of \mathbb{A} if and only if \mathbb{A} has one.

The Relational case

Remark

Any special Maltsev condition can be coded up as a particular instance of CSP(\mathbb{A}) but this appears to break down for conditions that are not linear.

Maltsev polymorphism

- If there is a uniform, polynomial-time algorithm to solve instances of the CSP over Maltsev templates (Willard, 2016???) then the above ideas can be used to prove that the problem of deciding if a finite relational structure has a Maltsev polymorphism is in **P**.
- Conversely, if there is an algorithm which, given a finite relational structure, produces a Maltsev polymorphism of it, if it has one, then there is a uniform polynomial-time algorithm to solve instances of the CSP over Maltsev templates.

Problems

- For $\mathcal{M} =$ omitting the unary type, what is the complexity of $\text{Rel-Sat}_{\mathcal{M}}$?
- If \mathcal{M} is a special Maltsev condition, is $\text{Rel-Sat}_{\mathcal{M}}$ in \mathbf{P} ?
- What about when \mathcal{M} is not linear?
- When $\mathcal{M} =$ having a semi-lattice term?

Remarks

- *Over the past 20 years a package of computational tools for investigating finite algebras and the varieties that they generate has been developed.*
- *It is currently being maintained by Ralph Freese and William DeMeo and can be freely downloaded from the website <http://uacalc.org>.*
- *In addition to the program, a large library of java code is also available.*
- *Contributions and suggestions from the community are always welcome.*